

*Do Robots Need Cognition?*

*Does Cognition need Robots?*

**Animals, Humans, Robots and Buildings:  
A perspective from Cognitive Neuroscience**

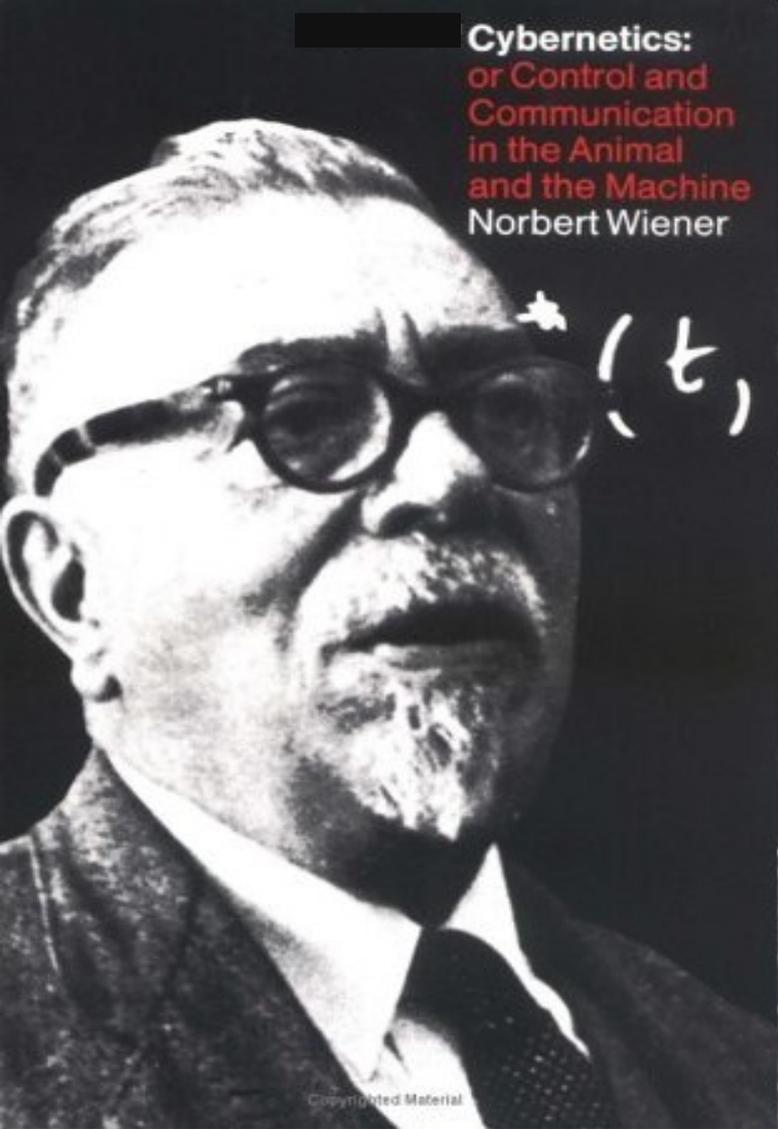
*Michael A. Arbib*

*Autonomous Robot Based on Inspiration from Biology*



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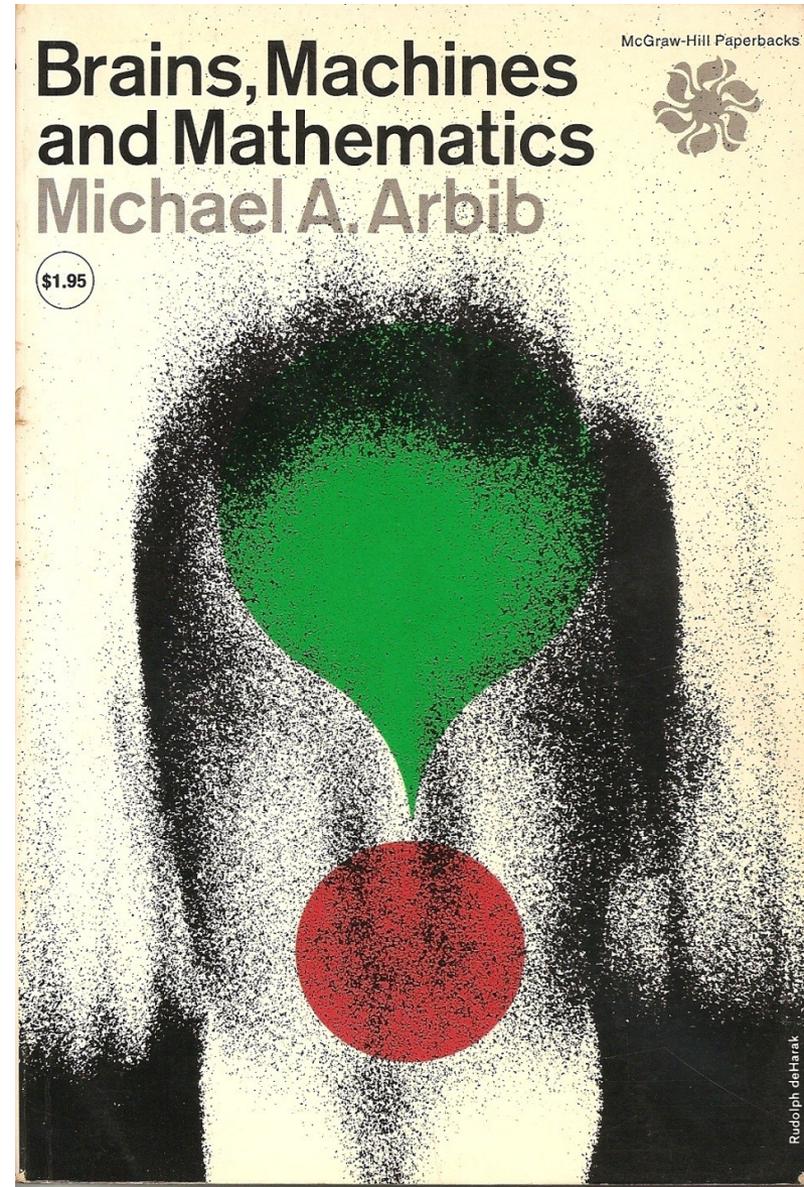
*Do **Animals** Need Cognition?*  
*Does Cognition need **Animals** ?*



**Brain as  
Machine**

.....

**Machine  
as Brain**



# *Cybernetics*



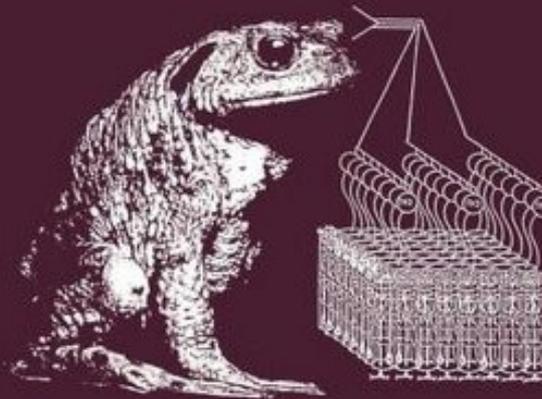
# Computational/Robotic Neuroethology

From *Machina Speculatrix* to *Rana computatrix* and *Psikharpax*



## Visuomotor Coordination

Amphibians, Comparisons,  
Models, and Robots



Edited by Jörg-Peter Ewert and Michael A. Arbib

## HOW TO CATCH A ROBOT RAT

When Biology Inspires Innovation

Agnès Guillot and Jean-Arcady Meyer



Translated by Susan Emanuel



# *Vincent Müller's definitions*



**Robots** are *examples* of

- \* whole **autonomous** systems that interact with a real environment
- \* embodied autonomous technical systems

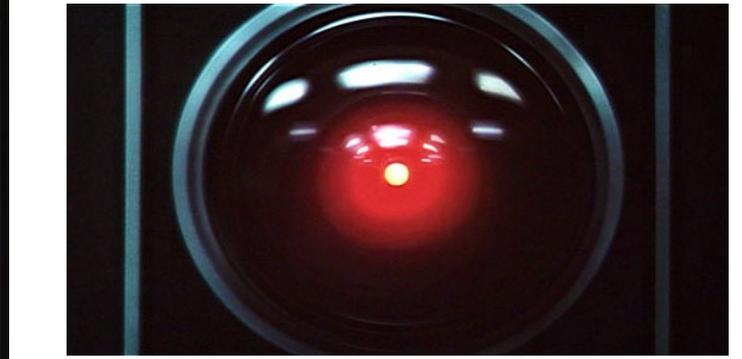
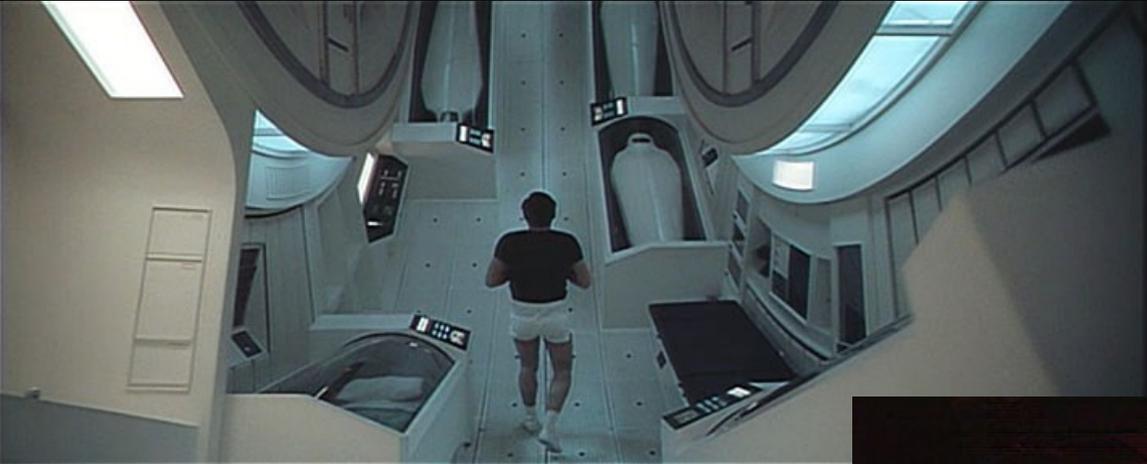
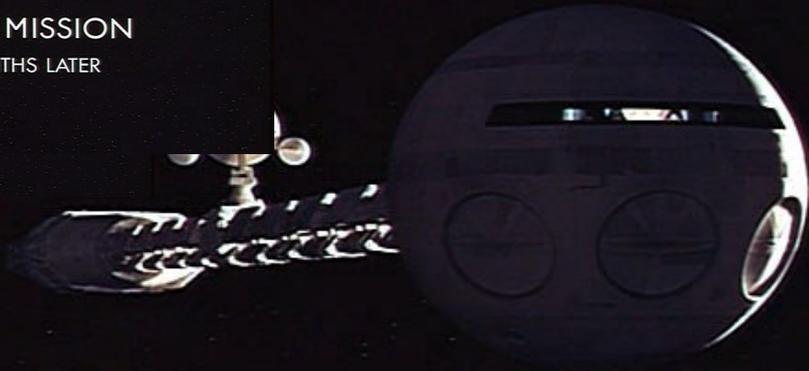
A **cognitive system** acts in flexible ways  
to **achieve its own goals** (this involves adaptation and learning).

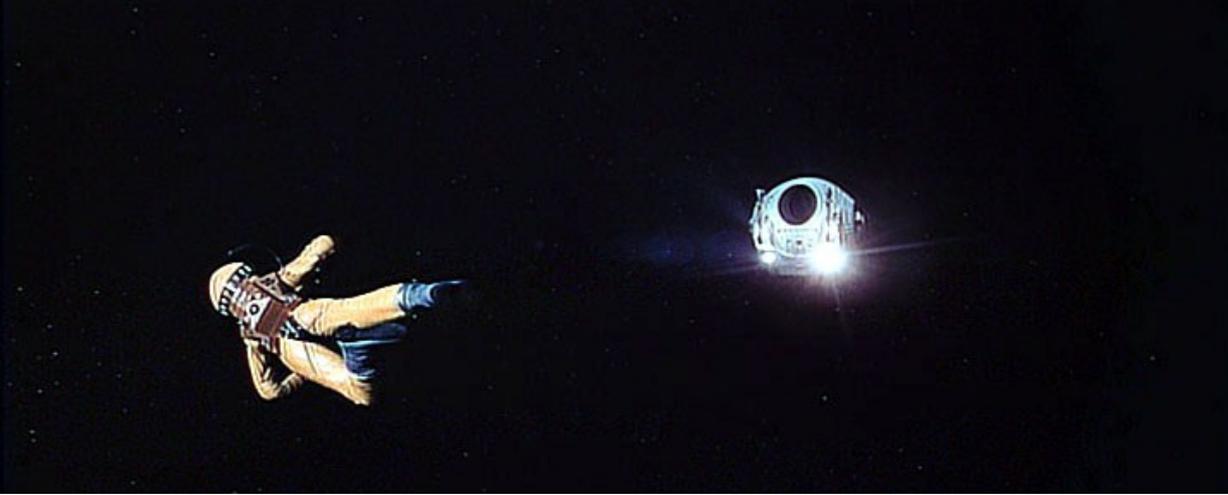
Greater cognitive ability will often be achieved by

- \* use of 'higher' functions like anticipation and reasoning.
- \* **cooperation with other agents**

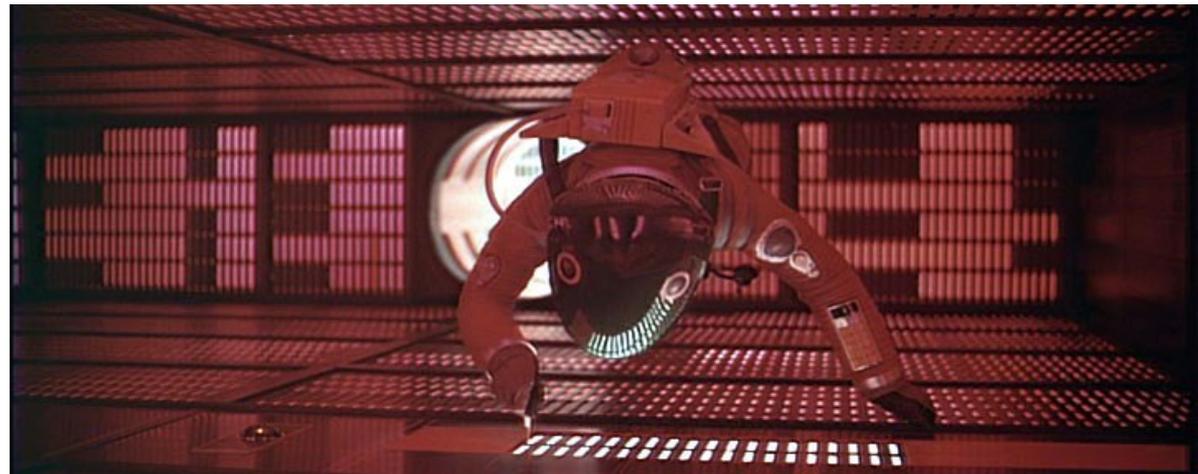
**Claim:** Systems with top-down control and full pre-specification  
(typically in **sense-process-act loops**) are less robust and more limited  
than autonomous and flexible systems.

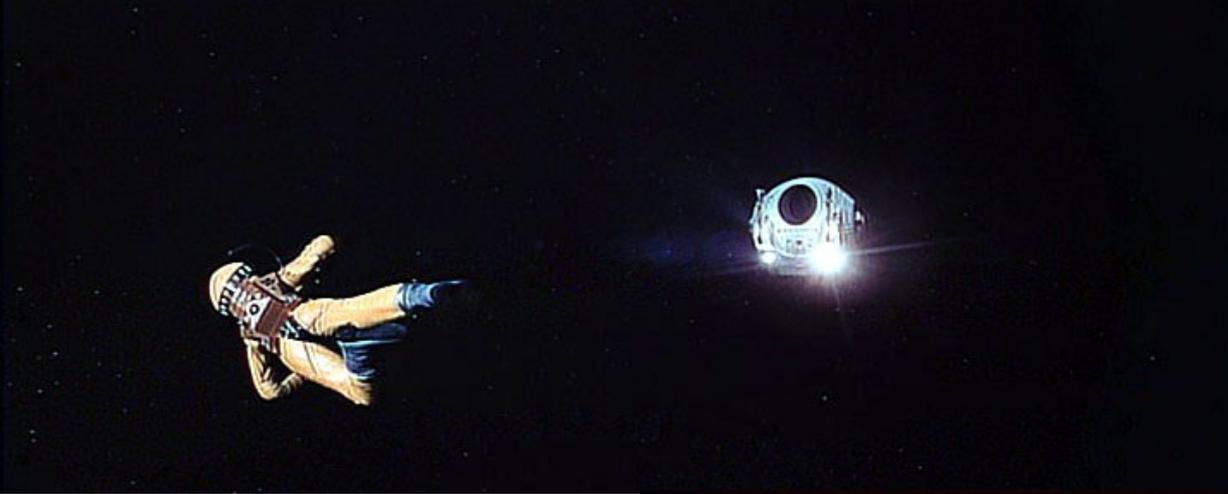
JUPITER MISSION  
18 MONTHS LATER



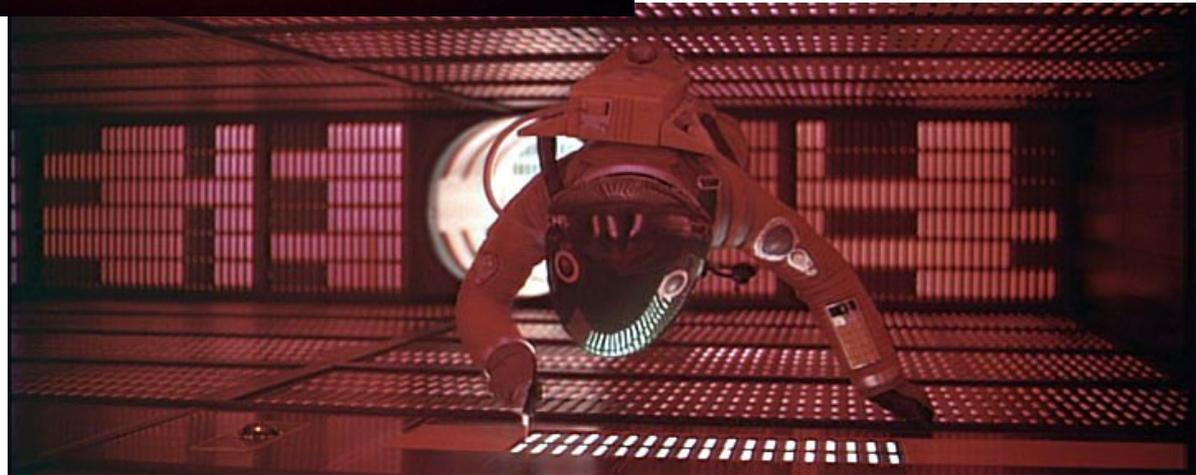


**LIFE FUNCTIONS  
TERMINATED**





**LIFE FUNCTIONS  
TERMINATED**



**How autonomous do you want your cognitive robot to be?**



# *Emotions and Social Intelligence*



It is crucial to the plot of the movie that we be aware of HAL's dual status as both a person (the voice is male monotone) and a computer.

What is the nature of personhood?

Can anyone "not of woman born" be a person?

What is memorable about HAL is that

- ✧ initially he seems to have more human feelings than Dave and Frank
- ✧ eventually he sees humans merely as obstacles to the mission.

Of course, many humans exhibit ruthless behavior towards other people, but we see this as a moral flaw because most humans have the ability to appreciate and respond to the personhood of others.



# *Challenges for Social Robotics*



Autonomy versus social norms.

- \* Social constraints – even ethics and morality – for interacting agents
- \* The issue of “empathy” – understanding the other to the extent that their goals and intentions must be taken into account.

# *Social Interaction: a Key to Who “We” Are*



*Allman, Evolving Brains, Page 178*



# *Towards a Cognitive Social Neuroscience*



- ✧ Much classic work has been done on the brain mechanisms in the hypothalamus that relate to the basic drives of hunger, thirst, fear and sex.
- ✧ Emotion only enters the picture when these are linked to the cognitive level.
- ✧ Much work in neuroscience has centered on the isolated animal
- ✧ We are now paying more and more attention to the brain mechanisms underlying social behavior



# *Giving Intelligence a Hand*



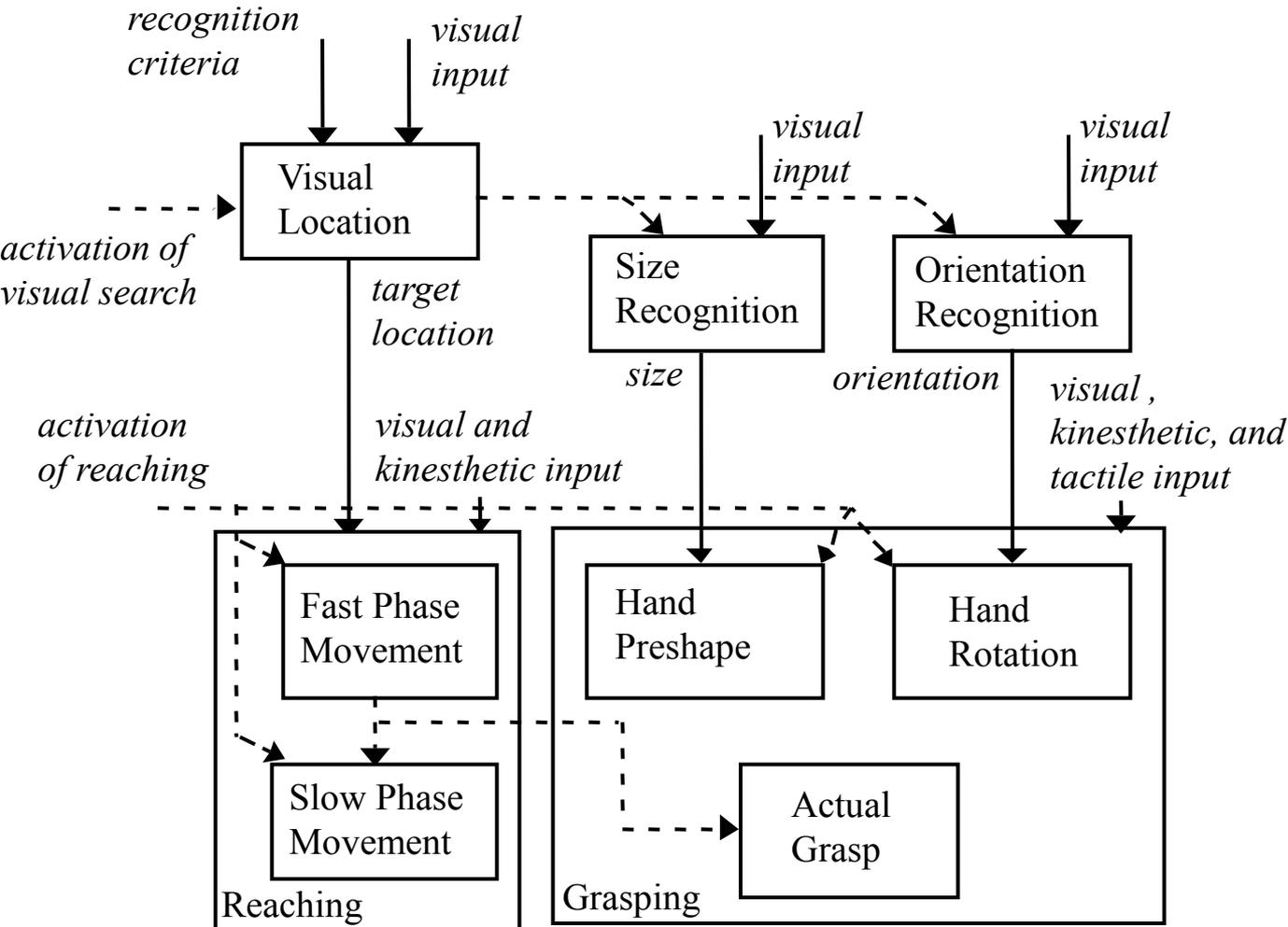
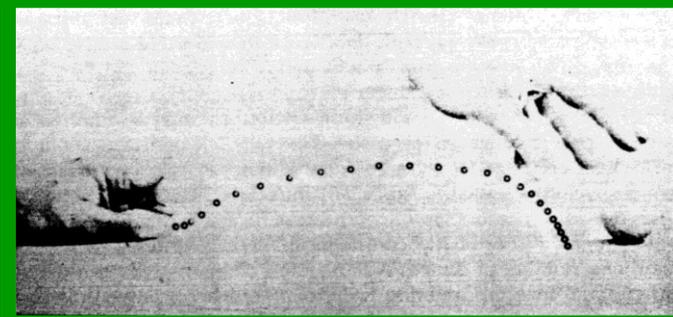
Anaxagoras indeed asserts that it is his possession of hands that makes man the most intelligent of the animals, but surely the reasonable point of view is that it is because he is the most intelligent animal that he has got hands.<sup>124</sup>

I'm an Anaxagorean!

# Coordinated control program for reaching and grasping



Jeannerod and Biguer 1979



*Perceptual  
schemas*

Arbib 1981

*Motor  
schemas*

# *Schemas*

A higher-level representation of what neural networks – and networks of networks – do

Integrating

- \* patterns of perception (**perceptual schemas**) with
- \* patterns for action (**motor schemas**)

within larger schema assemblages/coordinated control programs

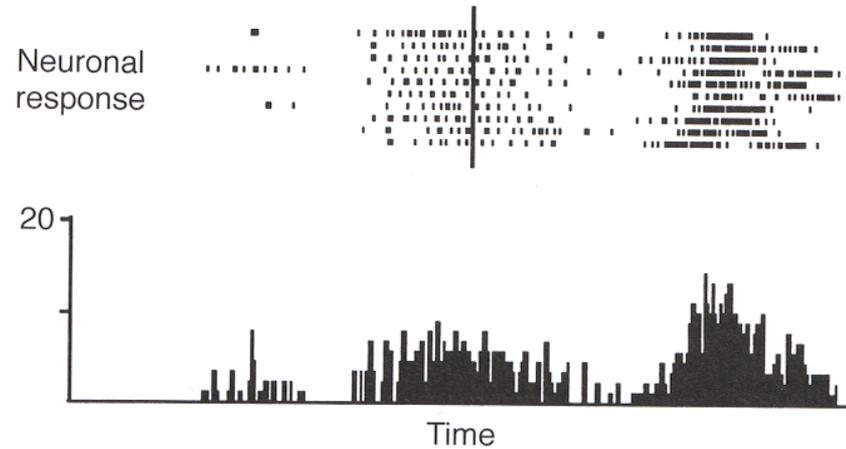
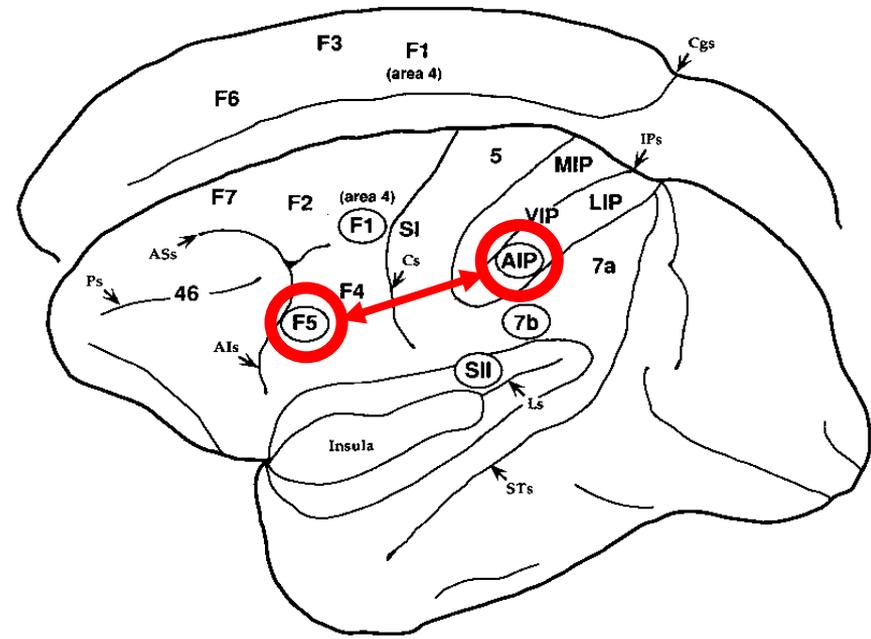
# Mirror Neurons for grasping

*The effective observed movement*

$\approx$

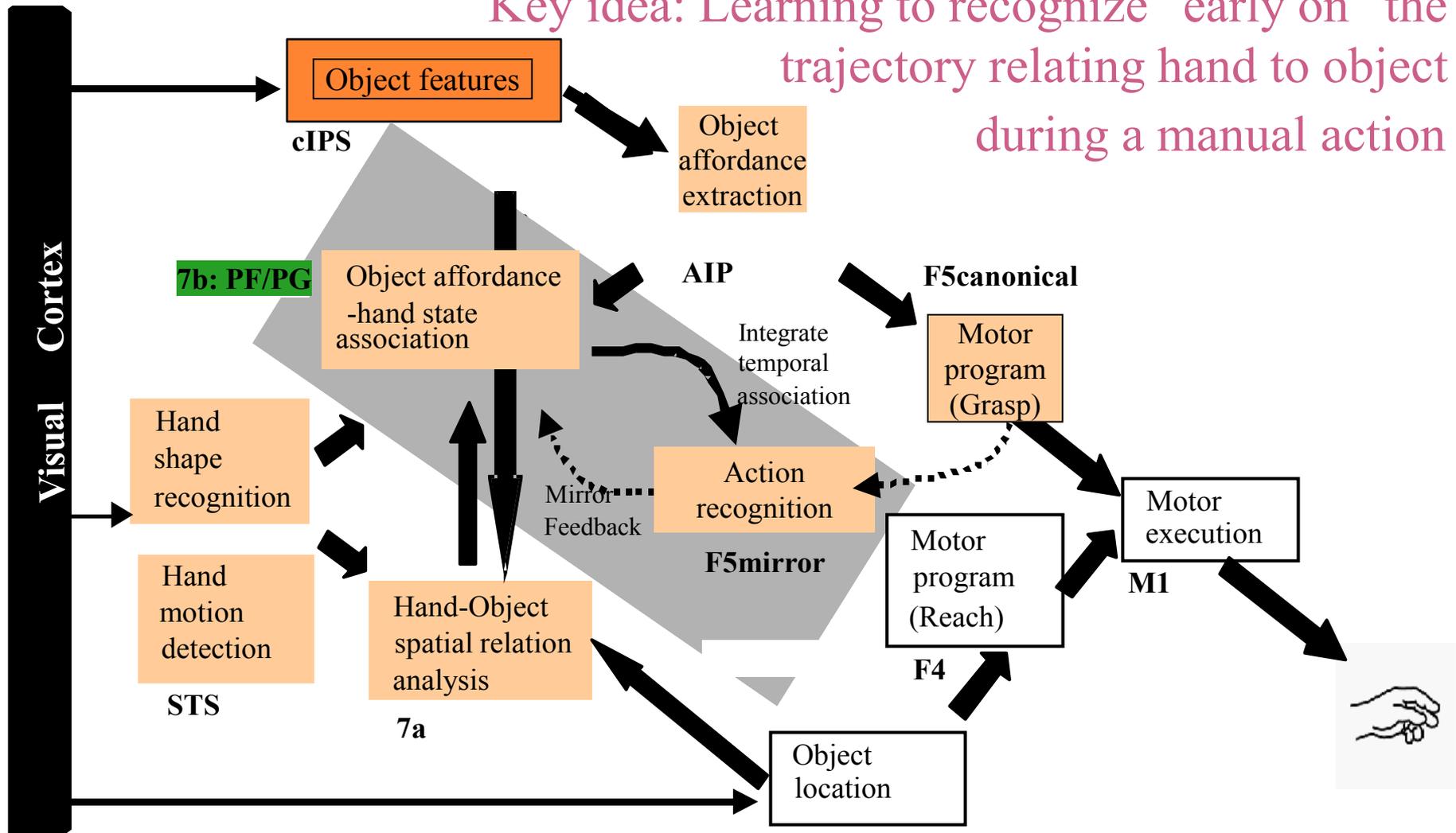
*The effective executed movement*

Rizzolatti, Fadiga, Gallese, and Fogassi, 1995



# MNS Model of the Mirror System (Oztop & Arbib 2002)

Key idea: Learning to recognize “early on” the trajectory relating hand to object during a manual action



# Hidden Grasps

## Experiment:

Umiltà et al. (2001) found that grasp-related mirror neurons will respond to grasps obscured by a screen as long as an appropriate object was previously seen before being obscured by a screen.

## Model additions required:

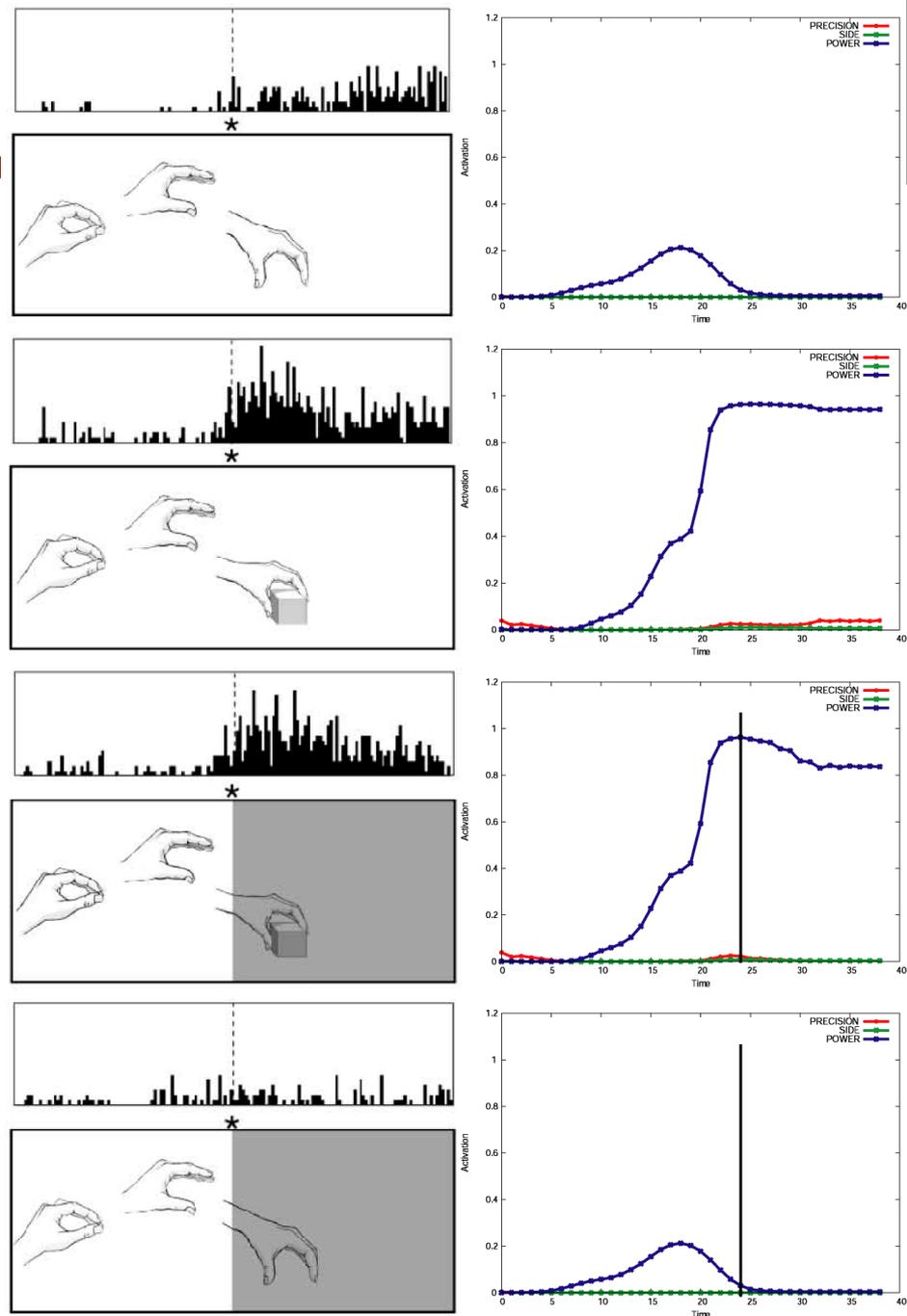
Working memory for parietal cortex

✧ object and hand information

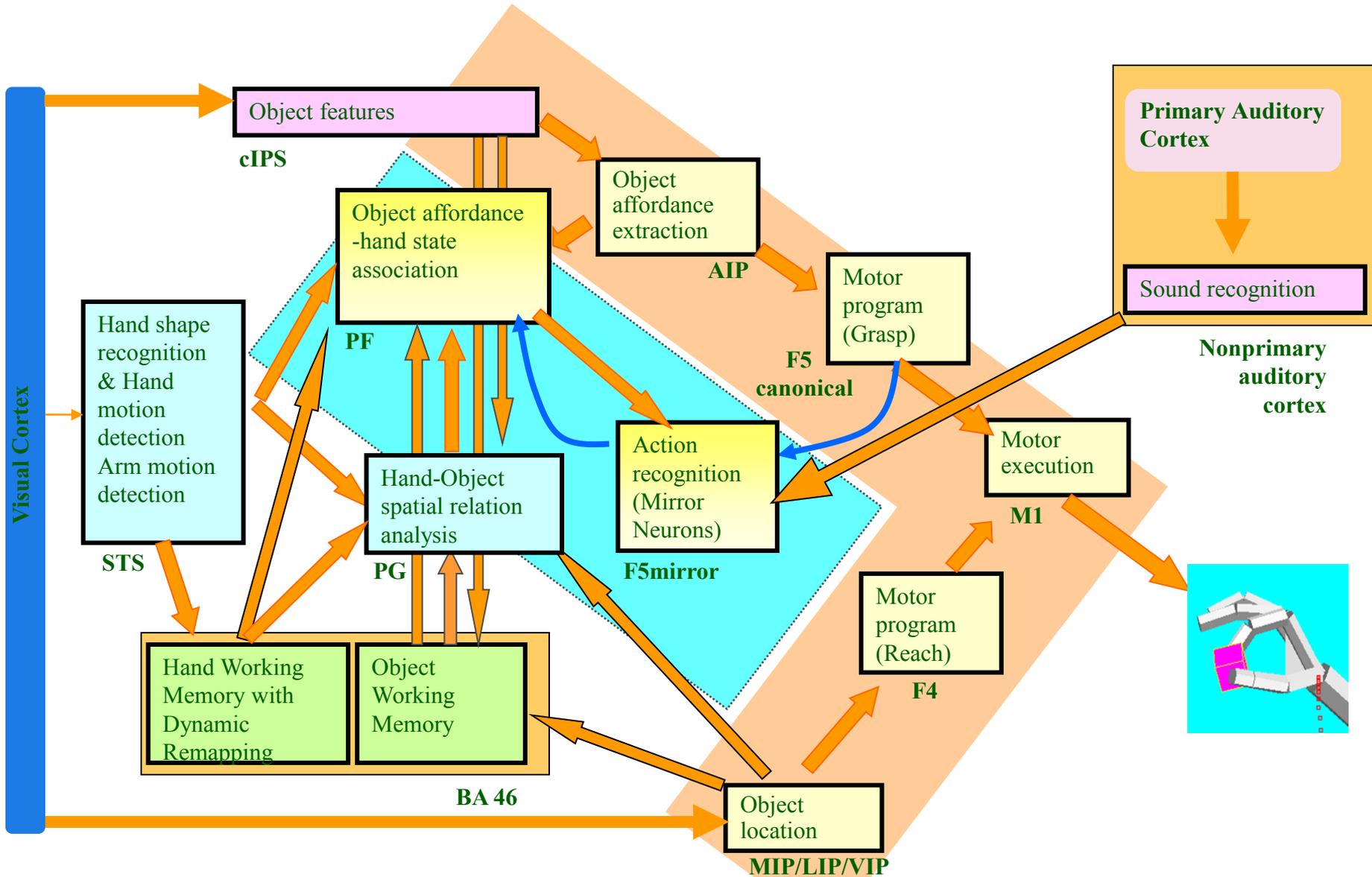
Dynamic remapping

✧ update working memory

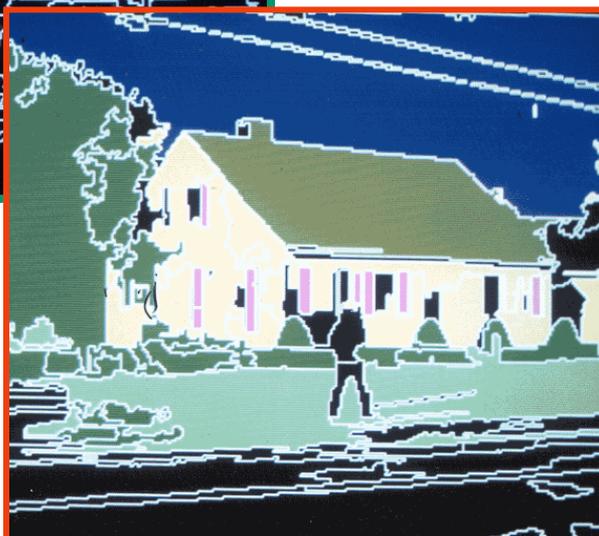
representation of hand location based on visible arm movement



# MNS2 Extends MNS



# Processing an Image with *VISIONS*



## *Segmentation*

### **Low-Level Vision**

- ◆ Competition and Cooperation at the level of local image features grows edges and regions to yield a first-pass subdivision of the image to ground semantic analysis

## *Recognition*

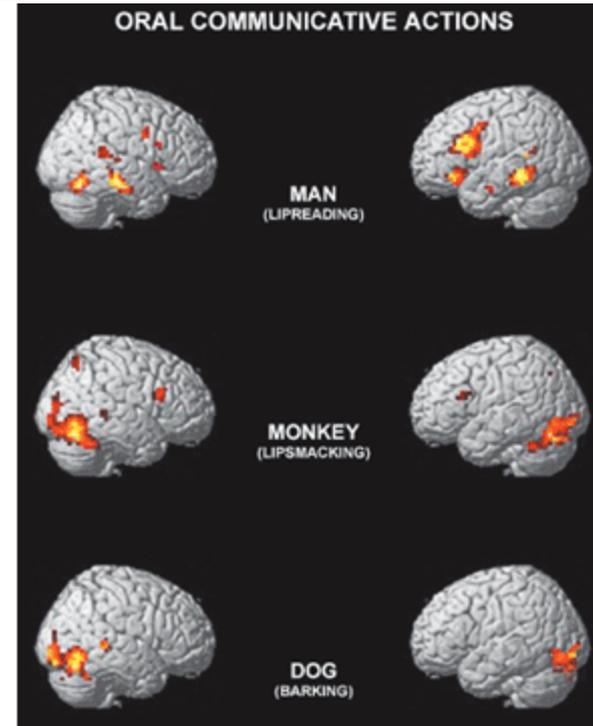
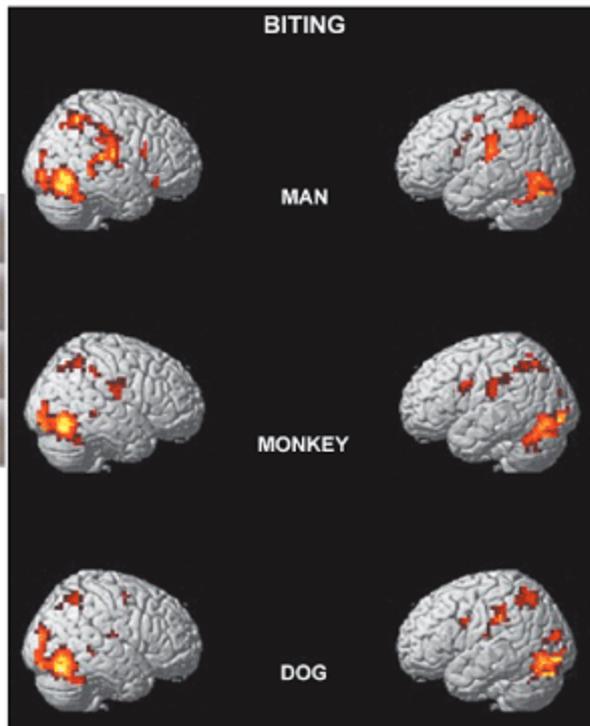
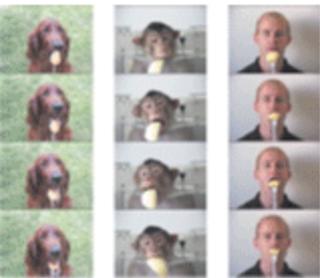
### **High-Level Vision**

- ◆ Sky: Data driven
- ◆ Roof: Data driven  
(but with context)
- ◆ Wall: Hypothesis driven

Schema instances  
compete and cooperate  
to interpret different regions

**Hanson and Riseman 1978**

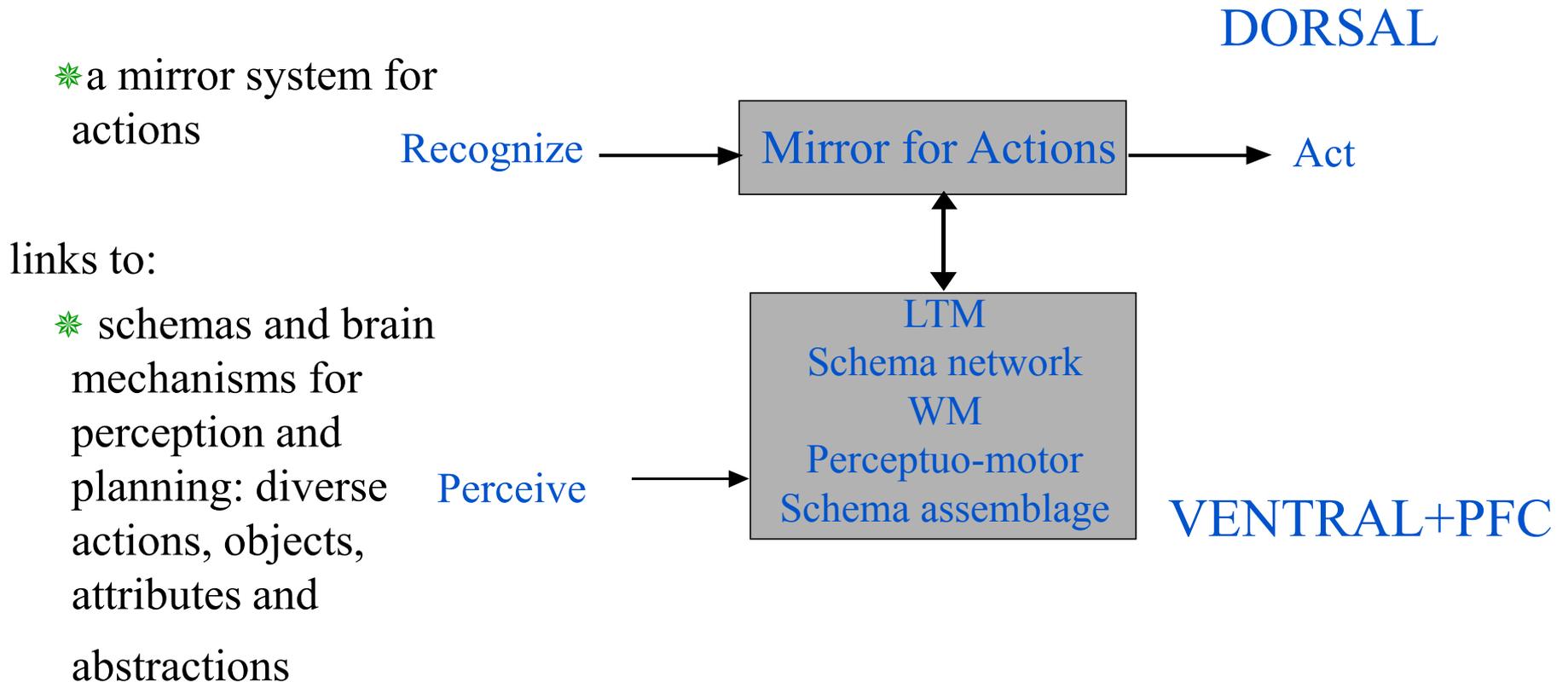
*Buccino et al. (2004) Neural circuits involved in the recognition of actions performed by nonconspecifics*



**Their Hypothesis:** Actions belonging to the motor repertoire of the observer are mapped on the observer's motor system. Actions that do not belong to this repertoire are recognized based without such mapping.

**My Hypothesis:** All actions can be recognized without mirror system activity *but activation of mirror neurons when available can enrich such recognition.*

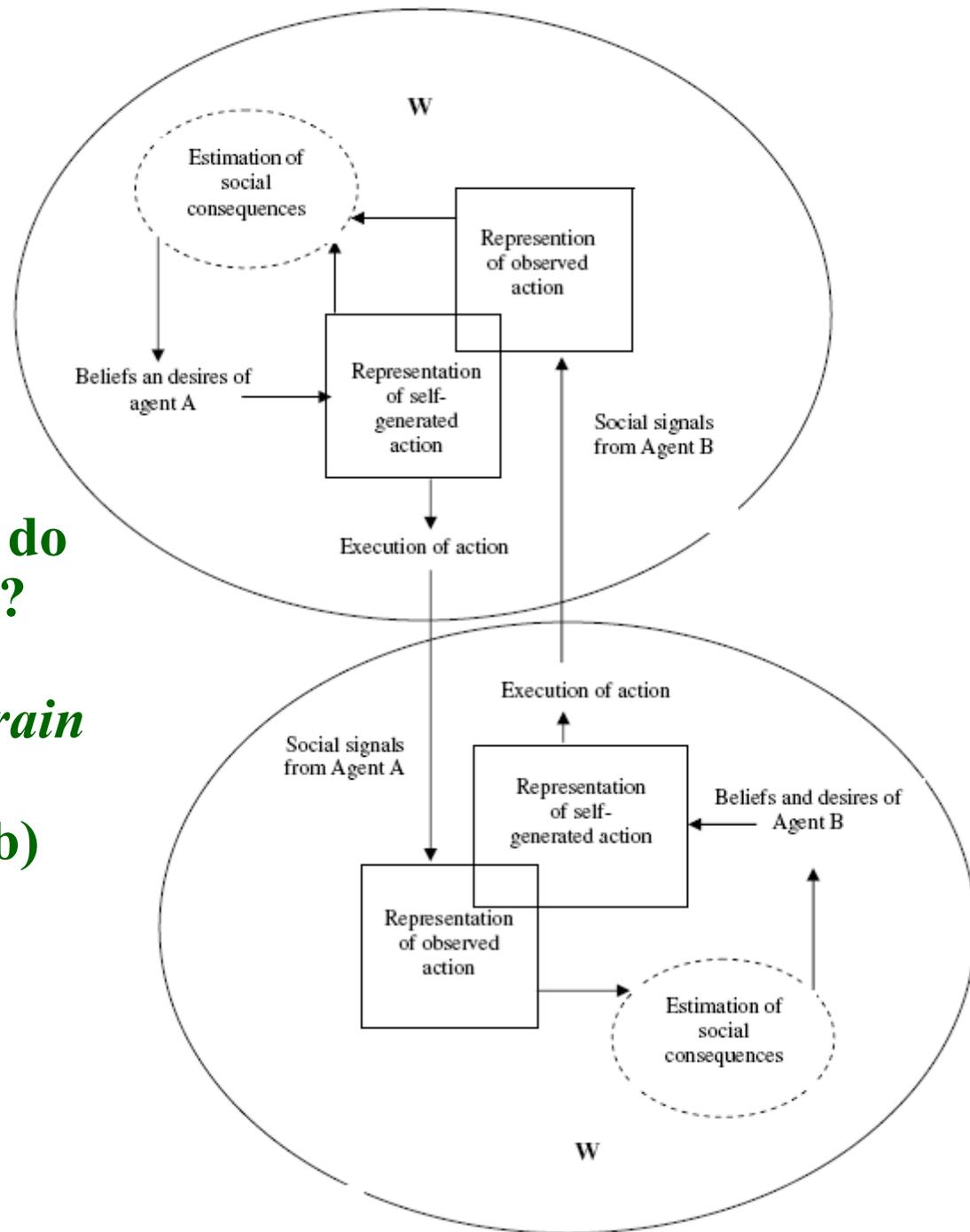
# *A Basis for Evolution*



# Cooperation with other agents

## Two embodied brains interacting

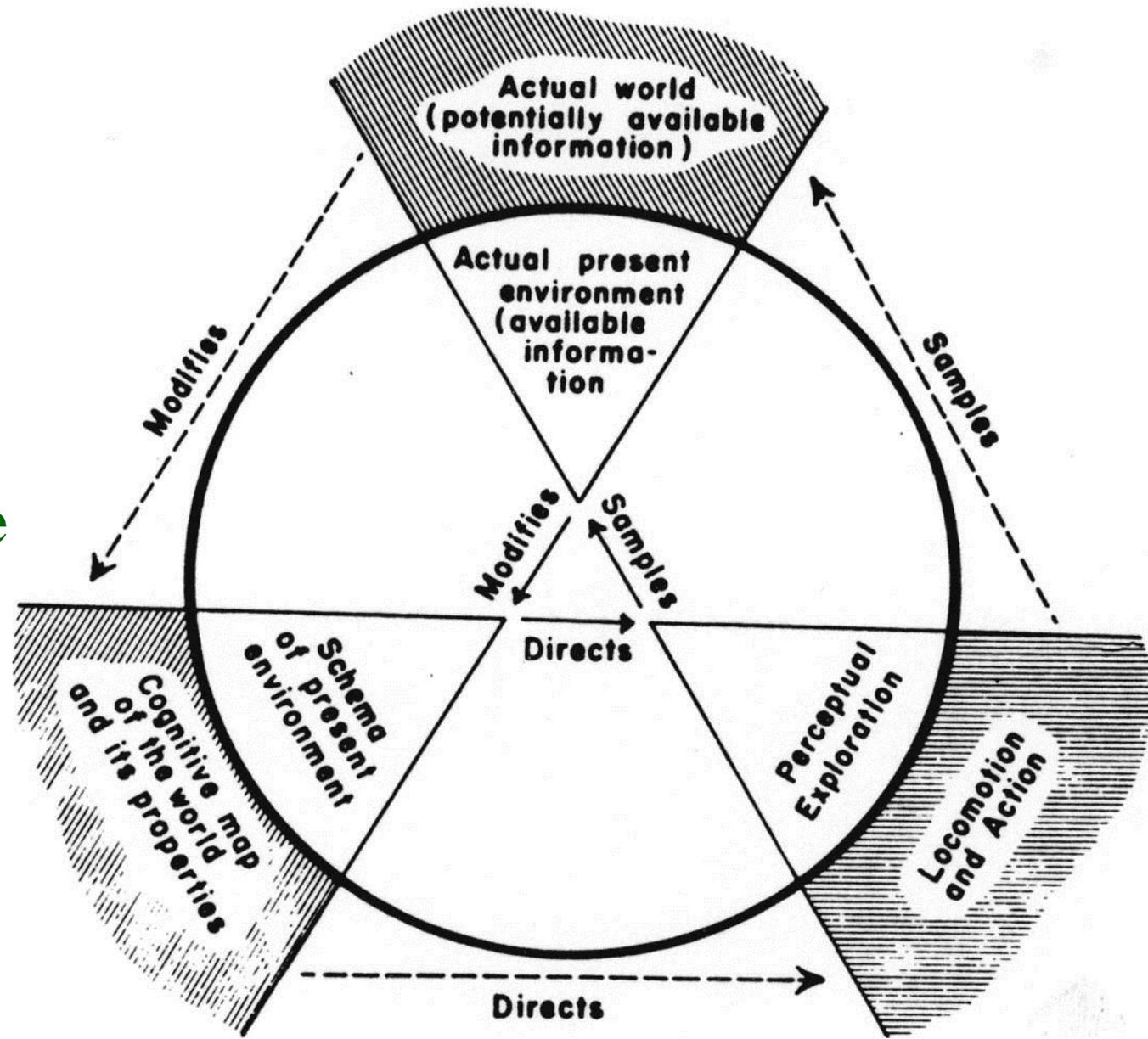
Marc Jeannerod 2005. How do we decipher others' minds?  
in  
*Who Needs Emotions: The Brain Meets the Robot,*  
(ed. J-M Fellous, MA Arbib)  
Oxford University Press



# *Beyond the sense-process-act loop*

Action-Oriented  
Perception  
(Arbib, 1972)

The Action-  
Perception Cycle  
(Neisser, 1976)





## *Before “The Great Move”*



A fixed repertoire of schemas:

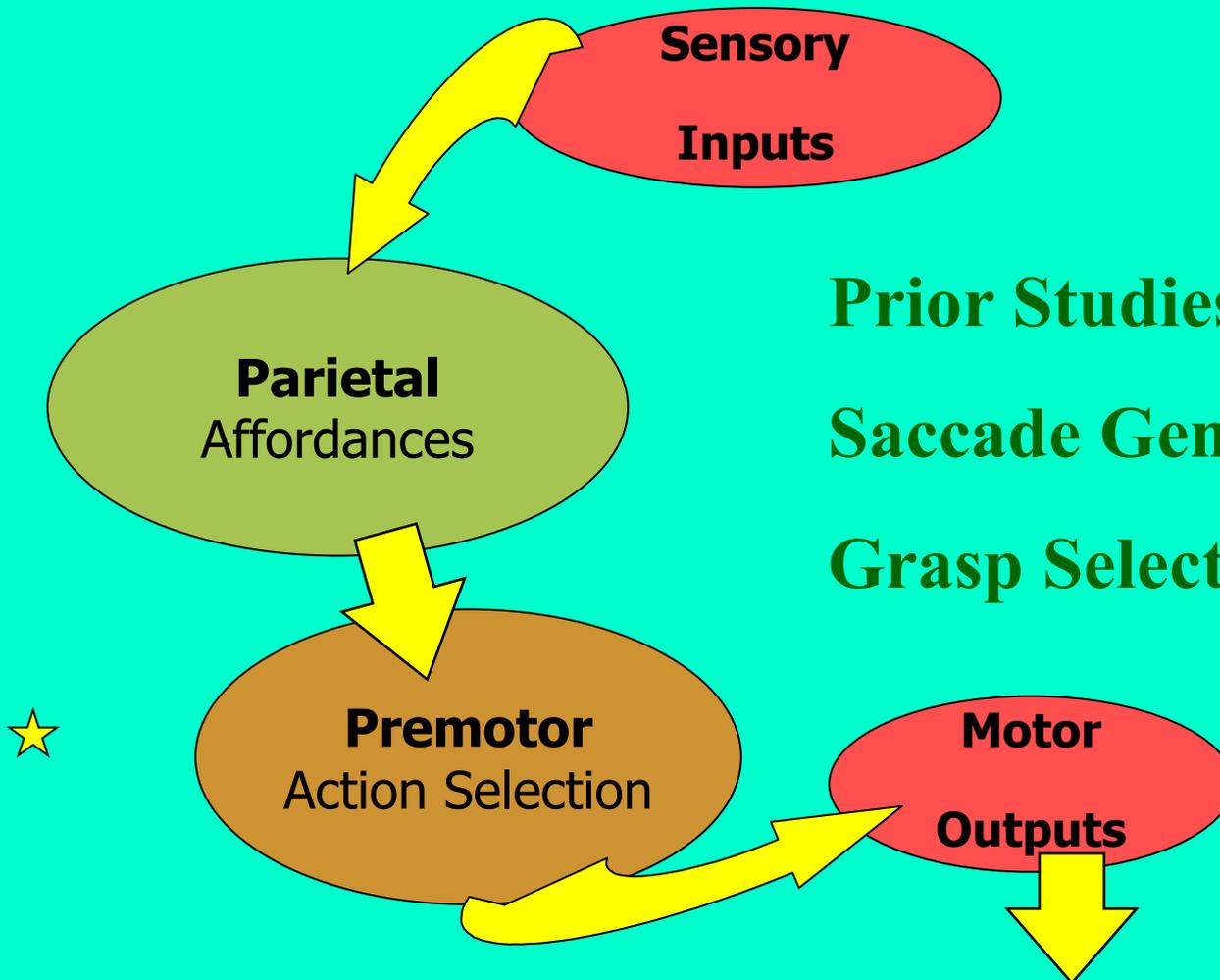
**Ethologists** study the adaptive character of lower organisms

- \* moving toward more and more **specialized networks with specialized dynamics** to support a great variety of representational demands
- \* e.g. in Frog: orient, approach, snap, escape, ...
  - \* schemas are strongly linked to basic motivational systems related to hunger, escape from danger, sex, ...

### **A Conundrum**

*Why is the human brain so complicated?*

# A General Paradigm: Parietal Affordances - Premotor Action Selection

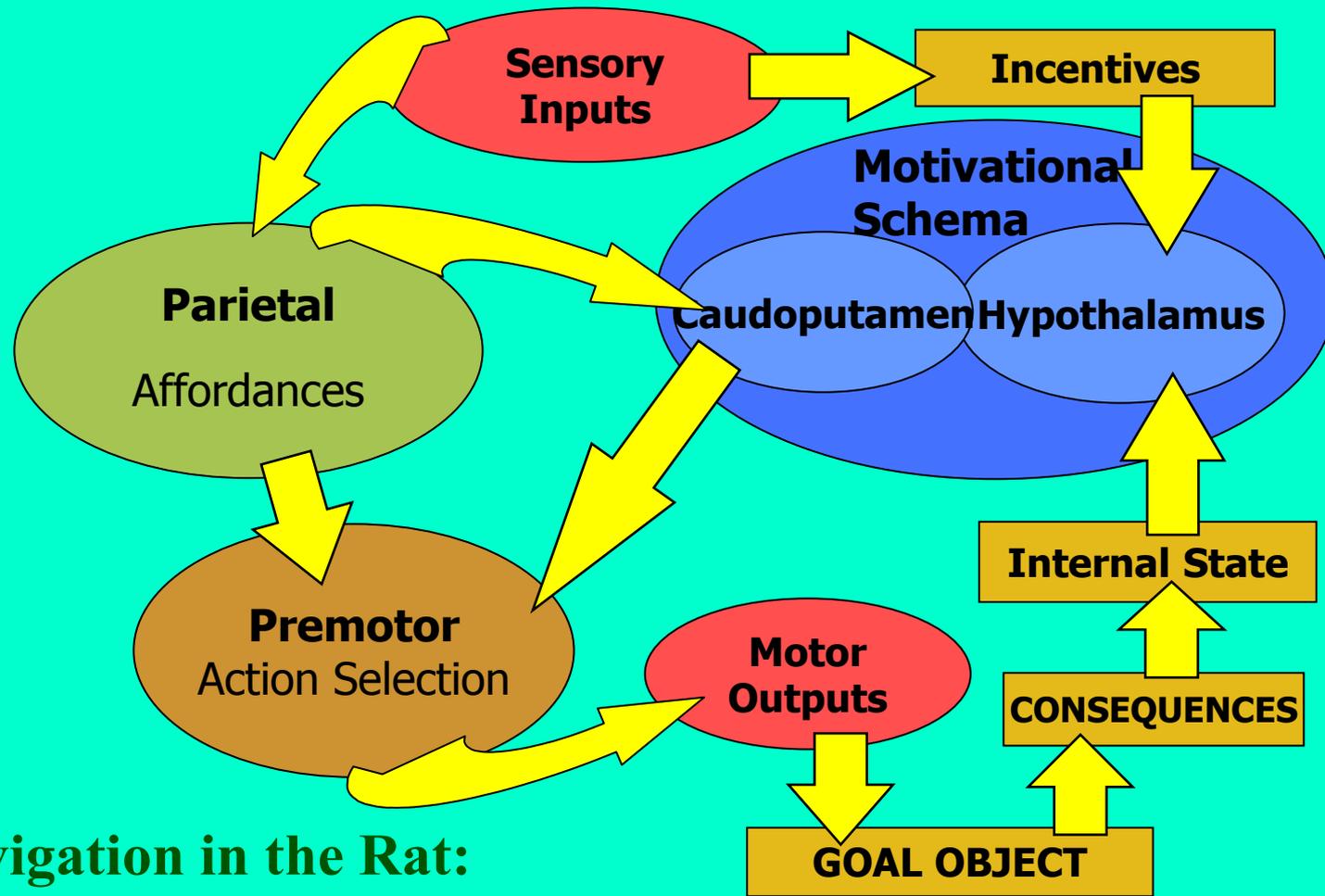


Prior Studies in Monkey

Saccade Generation (LIP-FEF)

Grasp Selection (AIP-F5)

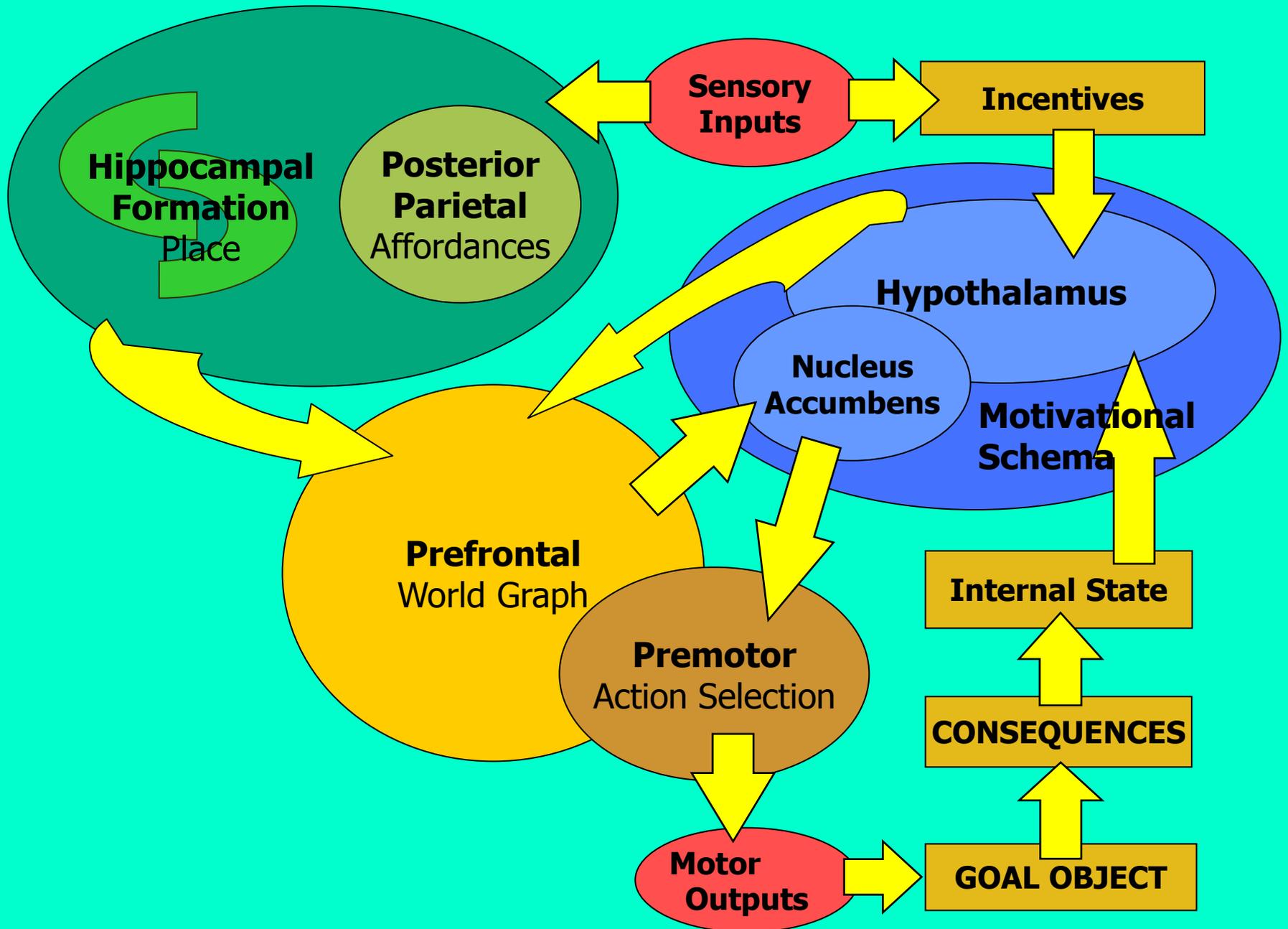
# The Taxon-Affordances Model (TAM): Neural Correlates



**Taxon-Based Navigation in the Rat:**

**Bringing in Reinforcement Learning and the Role of Motivation for Goal-Selection**

# The World Graph (WG) Model: Neural Correlates



# The Great Move

Allen Newell (*Unified Theories of Cognition*, 1990) argued that, rather than being dependent on specialized networks with specialized dynamics, the range of human cognition is the result of

## The Great Move:

- ✳ to using a neutral, stable medium that is capable of registering variety and then *composing* whatever transformations are needed to satisfy the requisite representation law. This opens up the whole world of indefinitely rich representations.
- ✳ Yielding the richness of each human's *schema encyclopedia*
  - ❄ humans acquire a multitude of schemas which can be deployed in an endless variety of role- and context-dependent groupings



*And It's **not** a Great Move to  
a Single General Purpose Computer (GOFAI)*



## **Our counterpoint:**

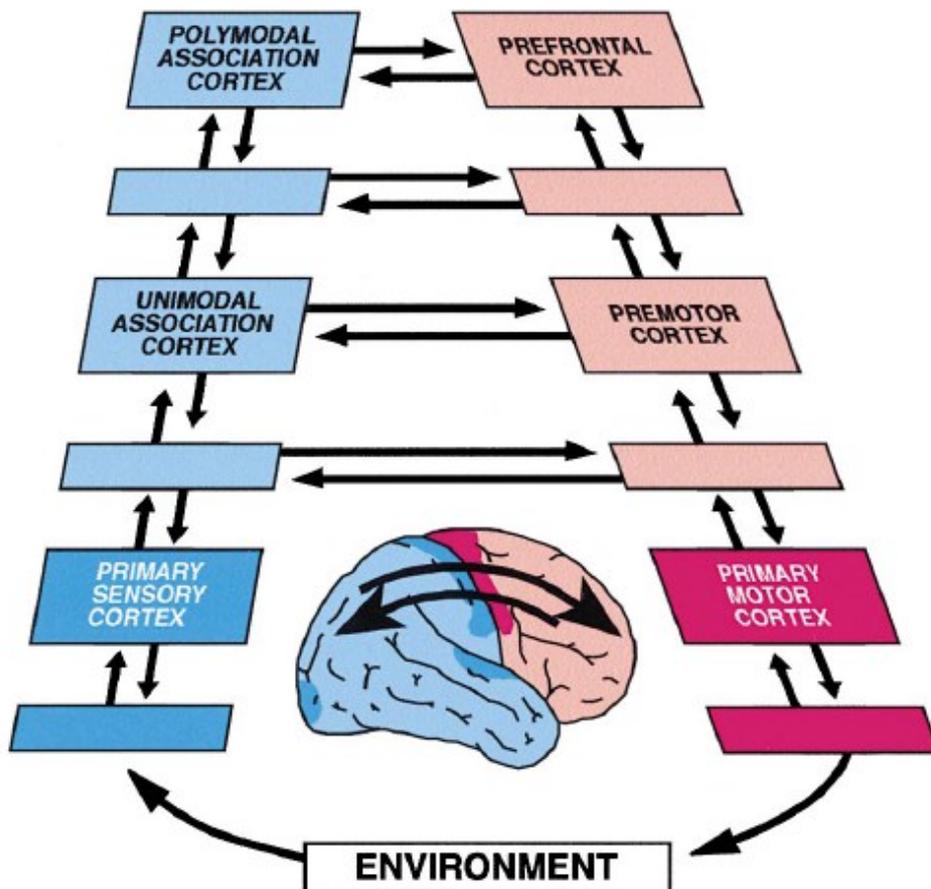
- ✧ Many specialized networks with specialized dynamics endure even as circuits supporting cognitive systems evolve atop them.
- ✧ Enlargement of the pre-frontal lobe extends the number, sophistication and coordination of parieto-frontal perceptuo-motor systems **to extend the reach in space and time**
  - ❄ Using motivation to evaluate future courses of action linked to sophisticated memory and planning structures
  - ❄ As prefrontal circuitry expands, refinements of the basal ganglia and cerebellum keeping pace

# The Action-Perception Cycle and the Human Brain

Fuster JM. 2001. The Prefrontal Cortex—An Update: Time Is of the Essence.

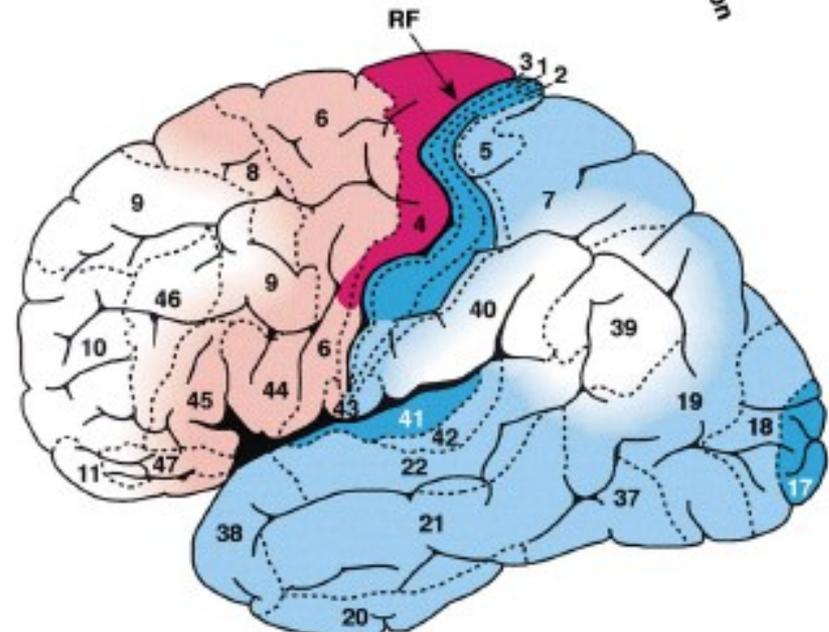
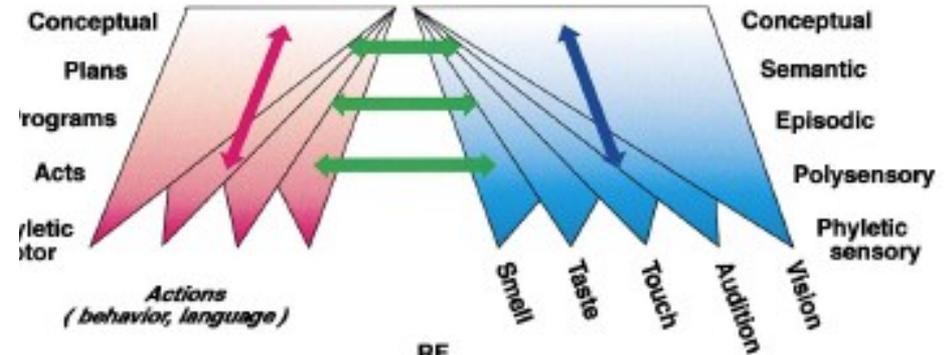
## SENSORY HIERARCHY

## MOTOR HIERARCHY

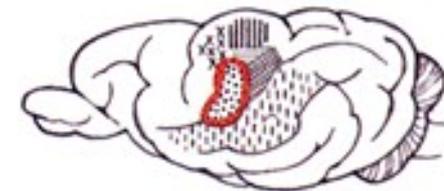
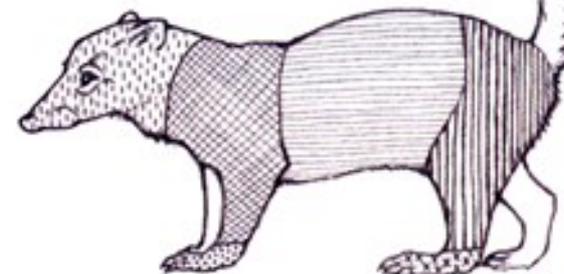
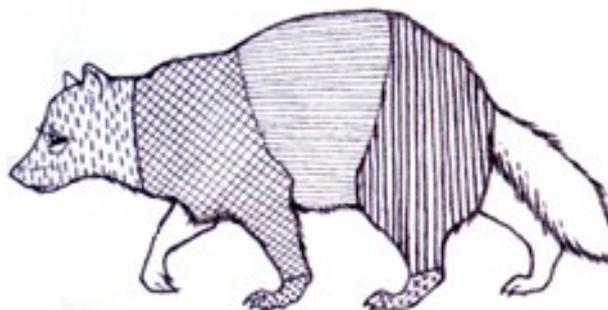
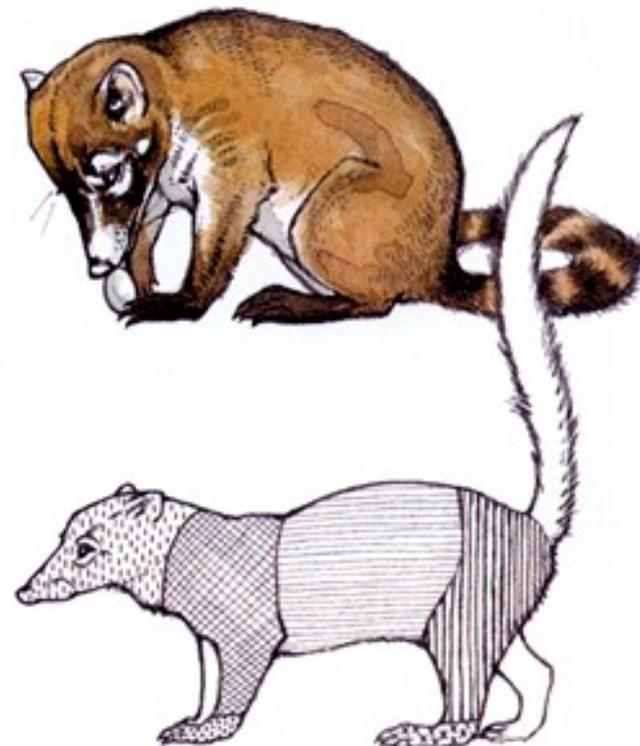


## Executive memory

## Perceptual memory



# Brains Differ from Region to Region not just Overall Size



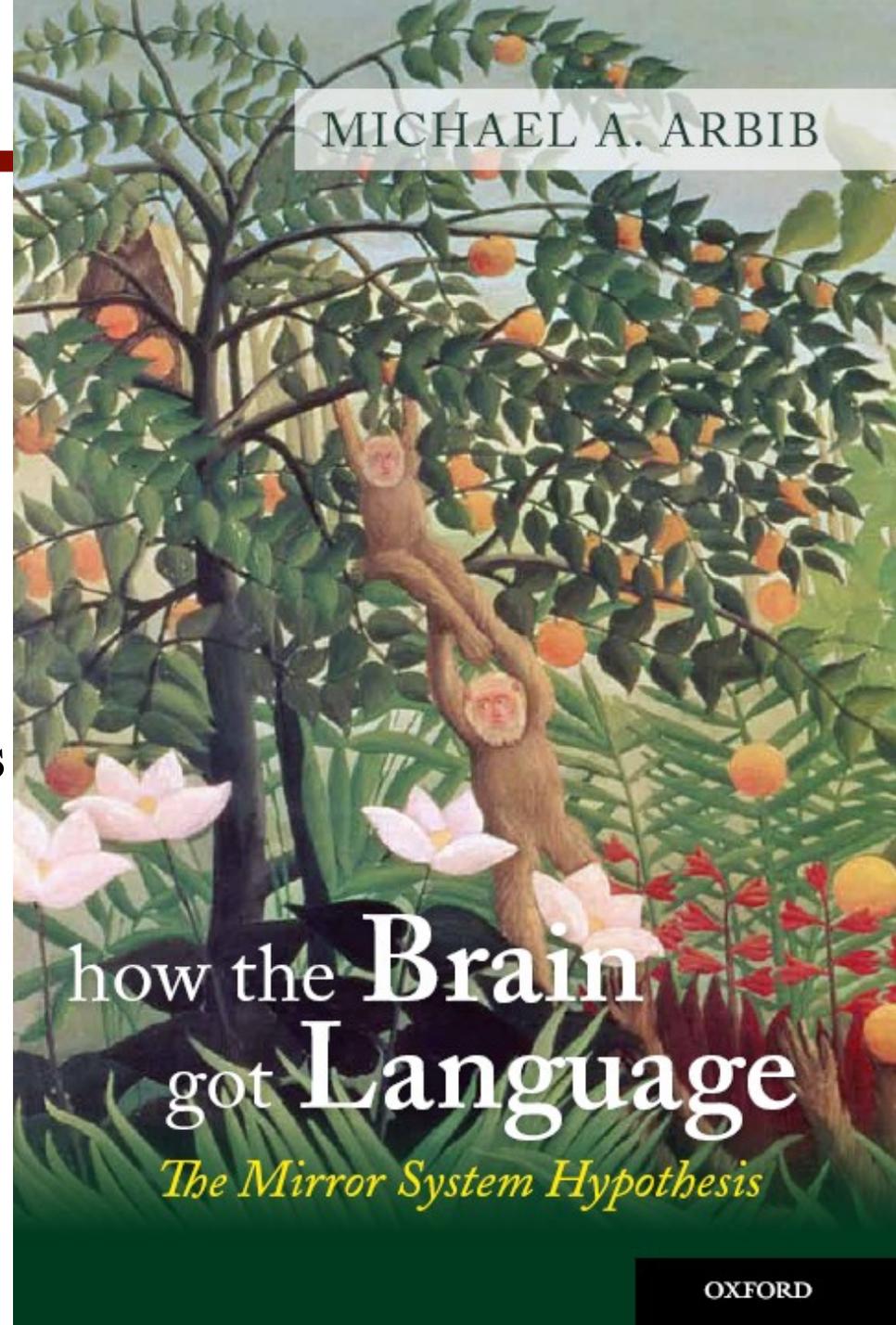
Raccoon

Coatimundi

Allman,  
*Evolving Brains*  
Page 35



**An evolutionary framework  
for understanding cognition  
in terms of  
multiple interacting brain systems**

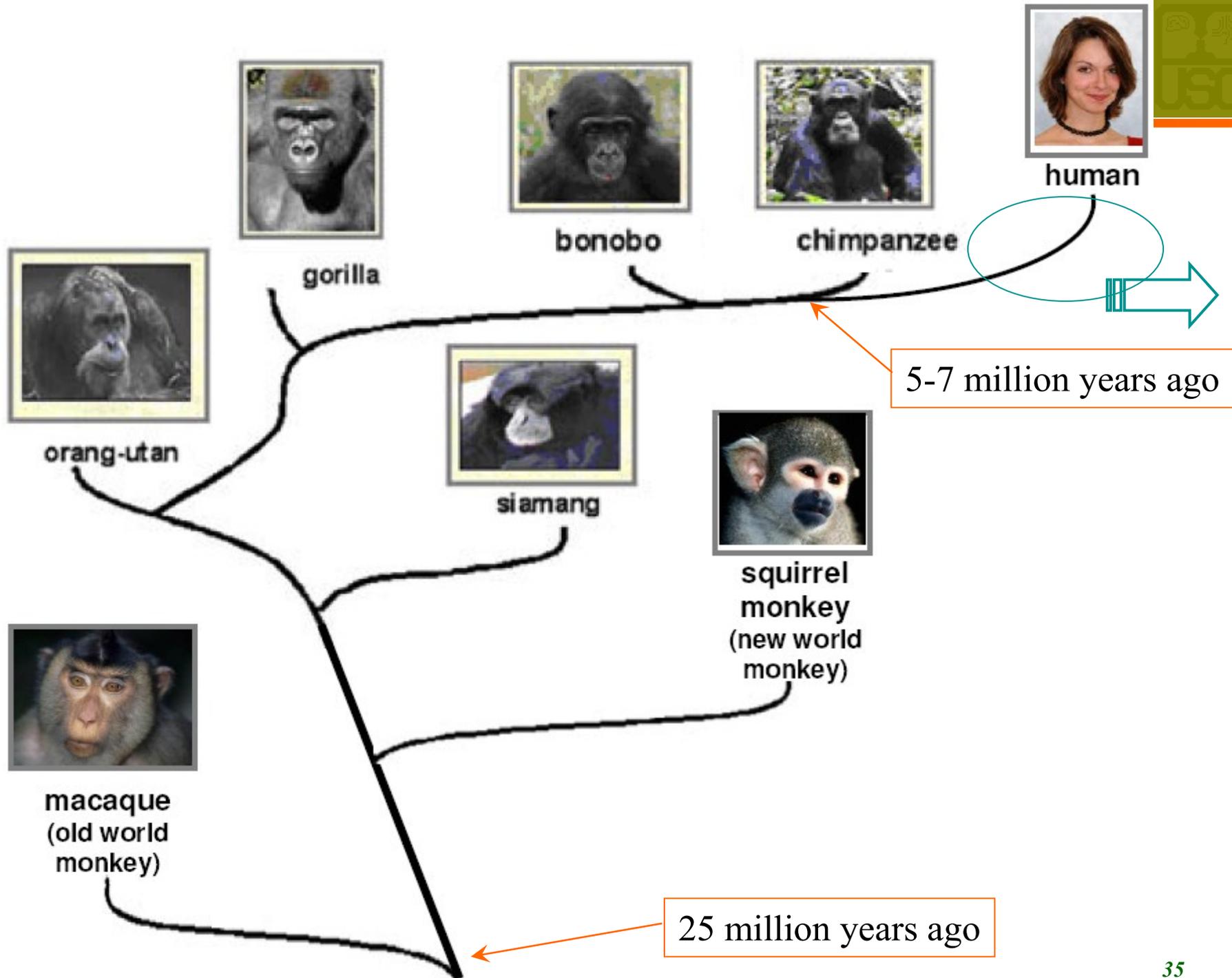


MICHAEL A. ARBIB

how the **Brain**  
got **Language**

*The Mirror System Hypothesis*

OXFORD

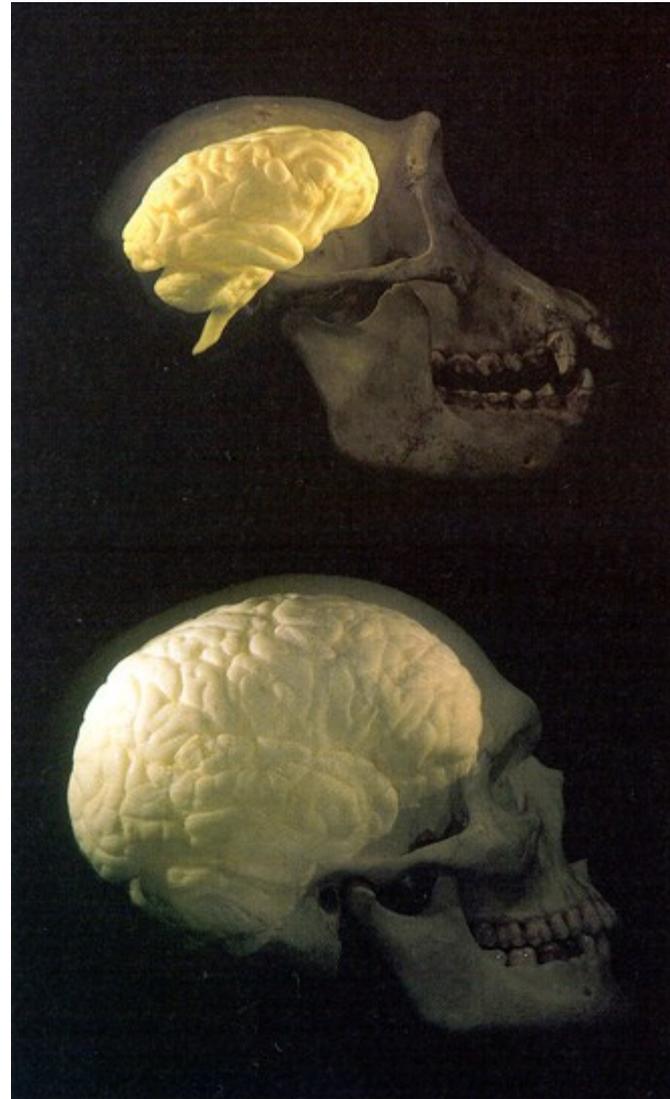




*Similar Body Size*

*but very  
different  
brains!*

Allman,  
*Evolving Brains*  
Page 14



# *From Grasp to Language 1*

## *Extending the Mirror System Hypothesis (Arbib, 2012)*

- a mirror system for grasping  
Shared with common ancestor of human and monkey
  - a simple imitation system for grasping  
Shared with common ancestor of human and great apes.
- Pre-Hominid*



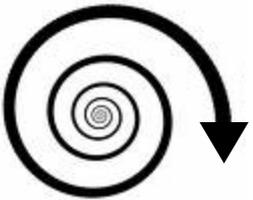
### *Simple imitation:*

Masako Myowa-Yamakoshi:  
The “imitation” employed by chimpanzees focuses on moving objects to objects rather than on the structure of movements per se.

# From Grasp to Language 2

## ↓ *Hominid Evolution*

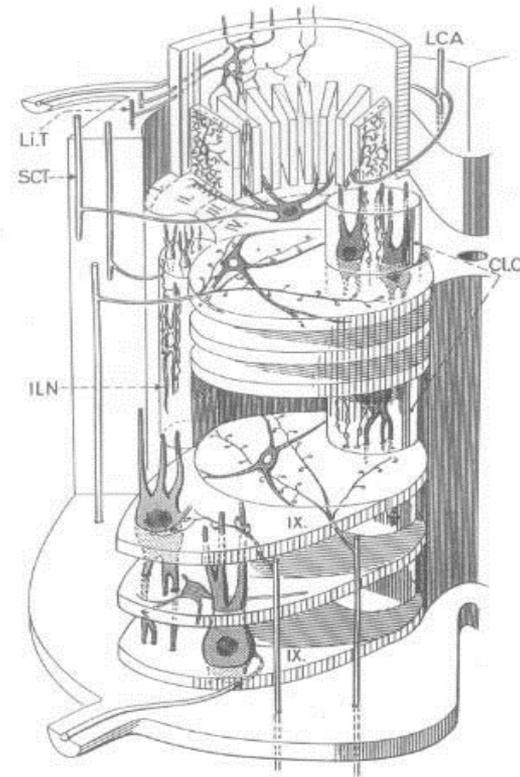
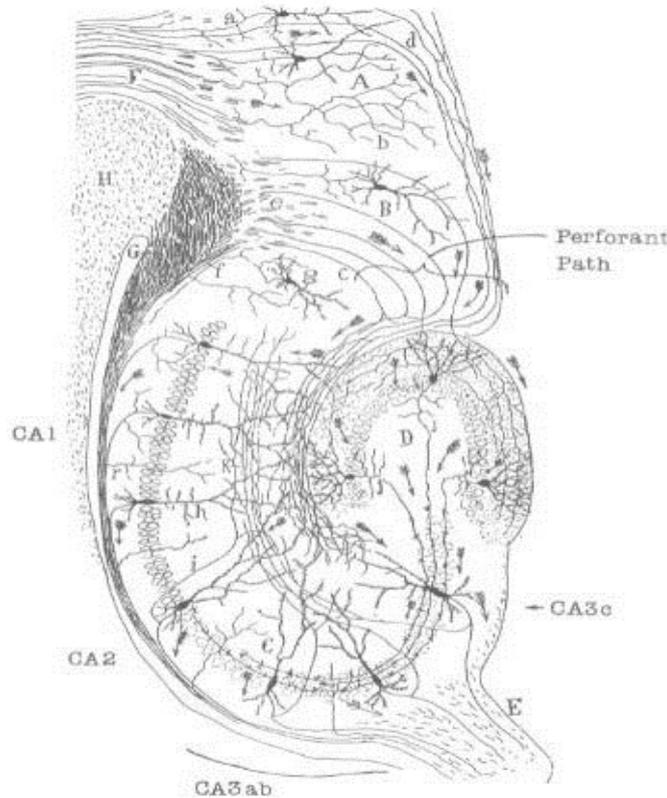
- a complex imitation system: *complex imitation* combines
  - the ability to recognize another's performance as a set of familiar movements with
  - the ability to use this recognition to repeat the performance, and
  - complex action recognition (more generally): the ability to recognize that another's performance combines actions which can be more or less crudely be imitated by variants of actions *and/or movements* already in the repertoire and attempt to approximate the performance on this basis, with increasing practice yielding increasing skill.
- pantomime: exapting complex action recognition for communicative actions
  - protosign: a manual-based communication system, based in part on conventionalization of pantomimes to yield an open-ended semantics
  - protospeech: resting on the "invasion" of the vocal apparatus by collaterals from the communication system based on the adaptive pressure to emulate and expand upon protosign semantics



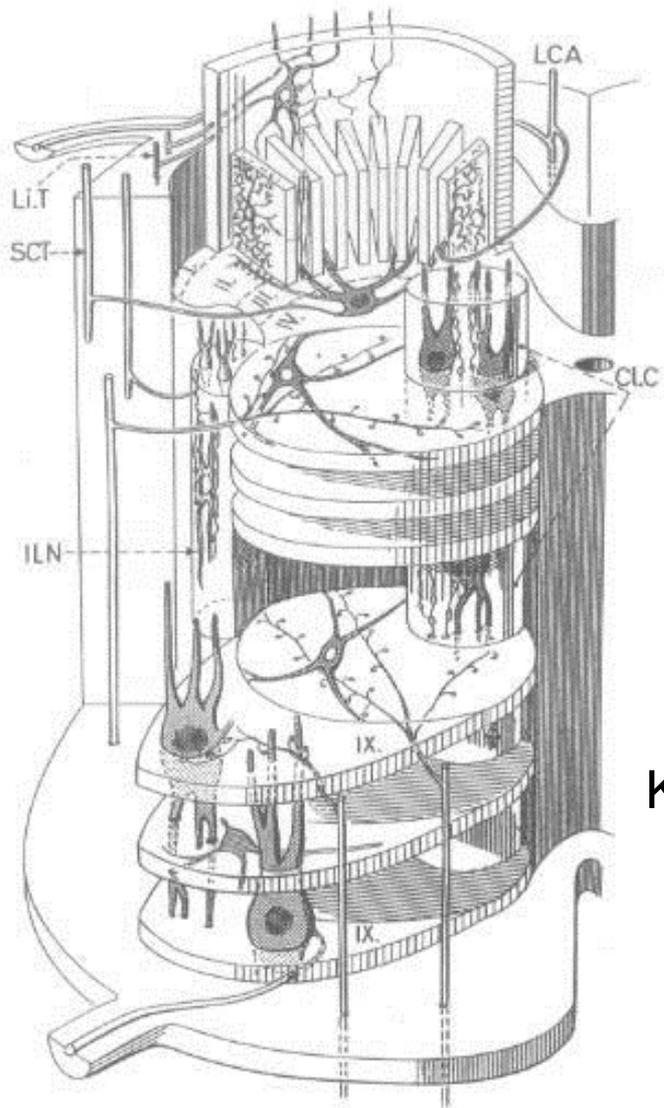
## ↓ *Cultural Evolution in Homo Sapiens*

- language: from protowords linked to action-object frames via fractionation to words and constructions building on verb-argument structures to yield syntax with a compositional semantics: co-evolution of cognitive & linguistic complexity

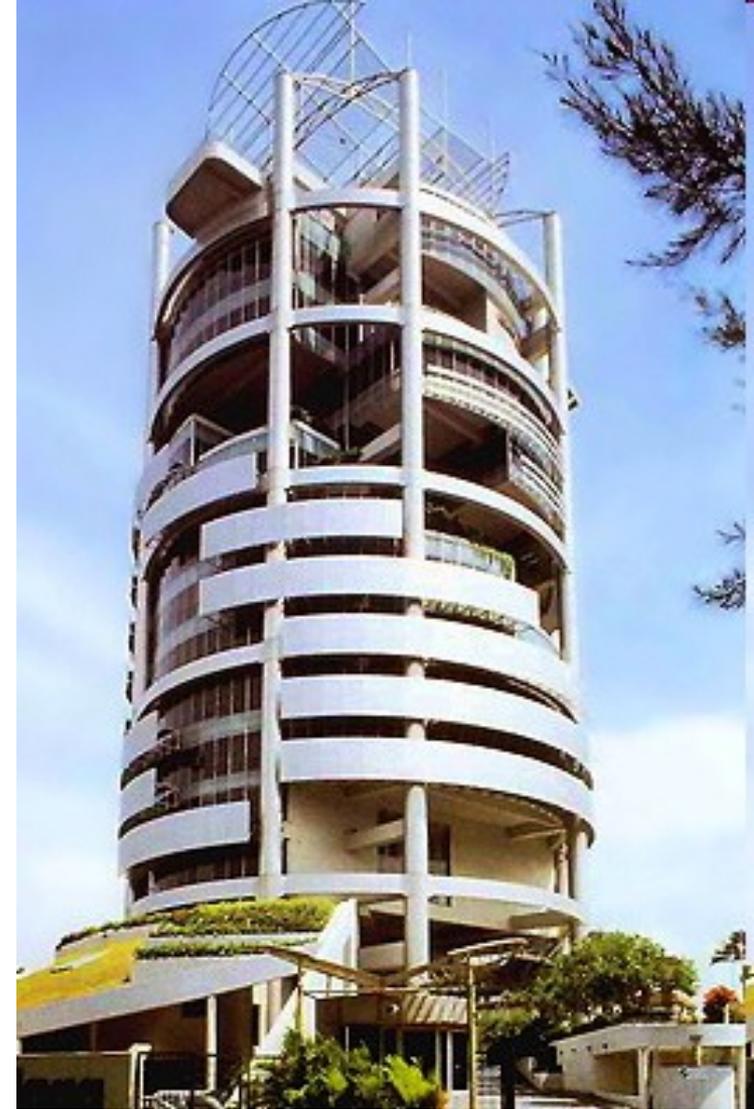
# *Different Parts of the Brain Have Dazzlingly Different Architectures*



The enduring challenge: to relate this diversity of form to function  
– noting the immense plasticity that “over-rides” it  
to create “virtual machines”



Ken Yeang:  
Menara Mesiniaga/  
IBM Tower,  
Kuala Lumpur (1992)



A visual pun – but I'm serious about  
*Neuromorphic Architecture*  
as a new approach to “smart architecture for the built environment

# *The Neuromorphic Design & Functionality of the Interactive Space 'Ada'*

**Rodney Douglas, Paul Verschure & Colleagues**



A pavilion at the Swiss National  
Exhibition of May - October, 2002  
Over 550,000 guests visited Ada





# *Ada, the Intelligence Space*



*Not* designed with a static functionality to which users must adapt  
*But* constructed as a perceiving, acting and adapting entity

Ada had a “brain” based (in part)  
on artificial neural networks

She had “emotions” and “wanted”  
to play with her visitors



# *Ada's sense of touch* in addition to cameras and microphones

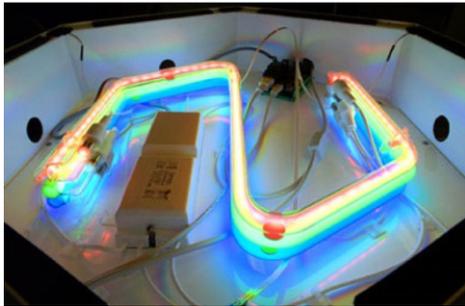
Ada had a “skin” of pressure-sensitive floor tiles

Can determine where a visitor is standing

✳ and in which direction a visitor is moving

The floor plates function like an (artificial) *neural network* that simulates synaptic interactivity.

Ada's “skin” (floor) also serves as a communications organ whose play with light allows Ada to "communicate."





## **A natural progression in visitor interaction:**

|                |   |
|----------------|---|
| <b>Sleep</b>   | One tile color for all visitors                                   |
| <b>Wake</b>    | Visitors given different-colored floor tiles                      |
| <b>Explore</b> | Probe for “interesting” visitors; deploy light fingers and gazers |
| <b>Group</b>   | Try to direct visitors to a certain location in space             |
| <b>Play</b>    | Play game selected on basis of number of visitors in space        |
| <b>Leave</b>   | Tile effects show path to exit of space for each visitor          |

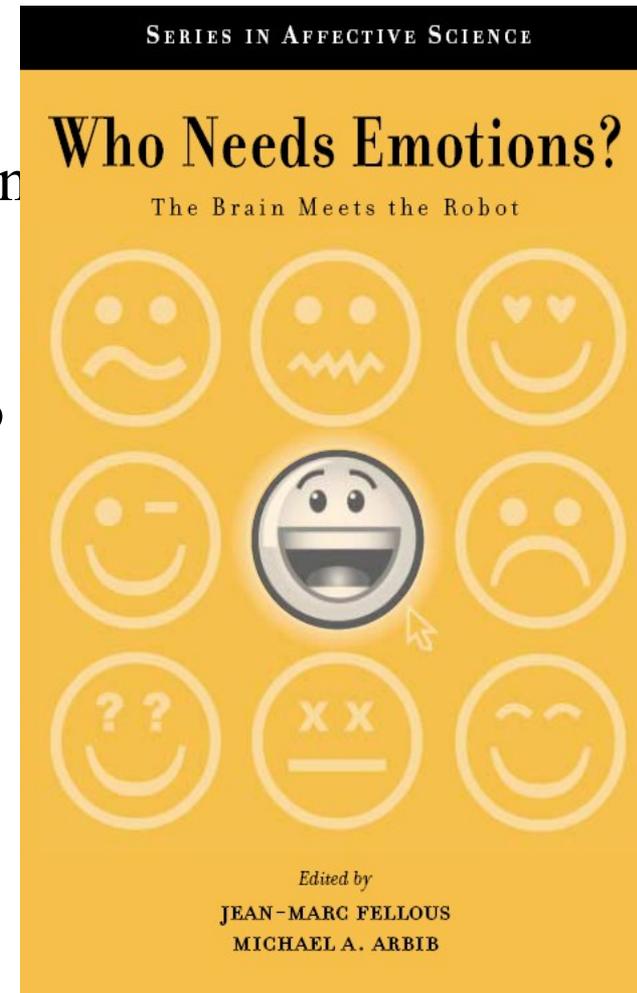
# Emotions in a Neuromorphic Architecture

Ada continually evaluates the results of her actions and expresses emotional states accordingly, and tries to regulate the distribution and flow of visitors



✱ Ada's level of overall happiness is translated into the soundscape and the visual environment in which the visitor is immersed, establishing a closed loop between environment and visitor

Ada “wants” to interact with people  
When people participate, she is happy  
When they do not, she is frustrated





# *Happiness (H)*



*Happiness increases with survival, recognition & interaction*

*Survival*: how well Ada maintains the flow of visitors over time

*Recognition*: how well Ada has been able to track and collect data about people, as a precondition for more advanced interactions

*Interaction*: the extent of successful human interactions in which Ada has been involved, with more complex interactions weighted more highly

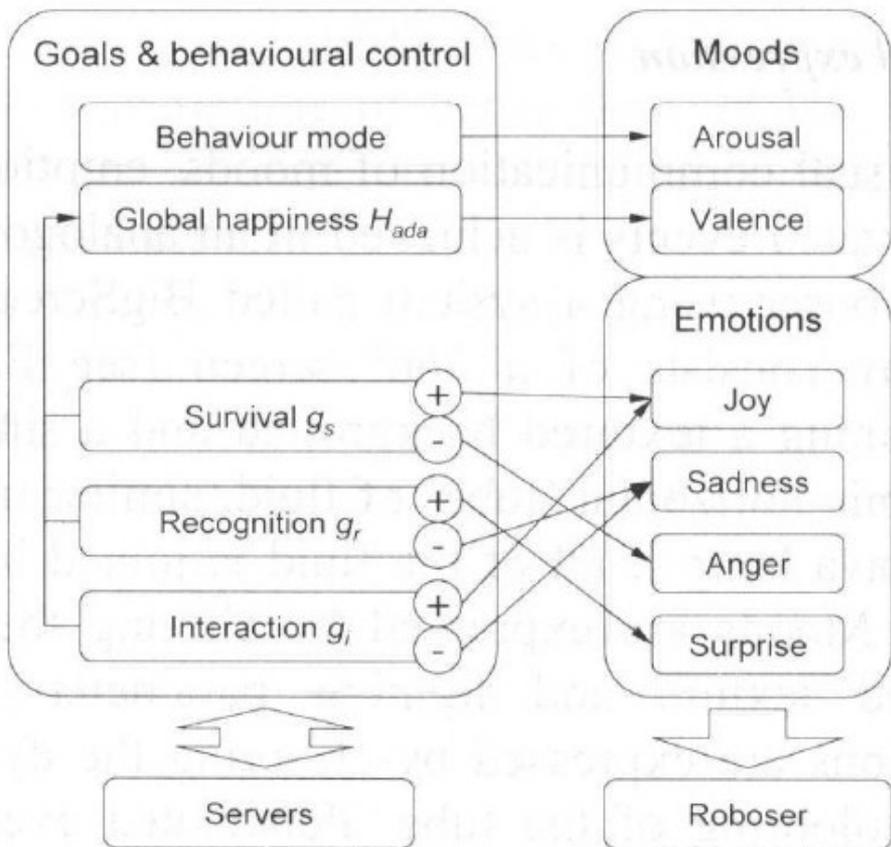
*Ada's goal: to maximize the value of H*

Ada could encourage high visitor throughput, or

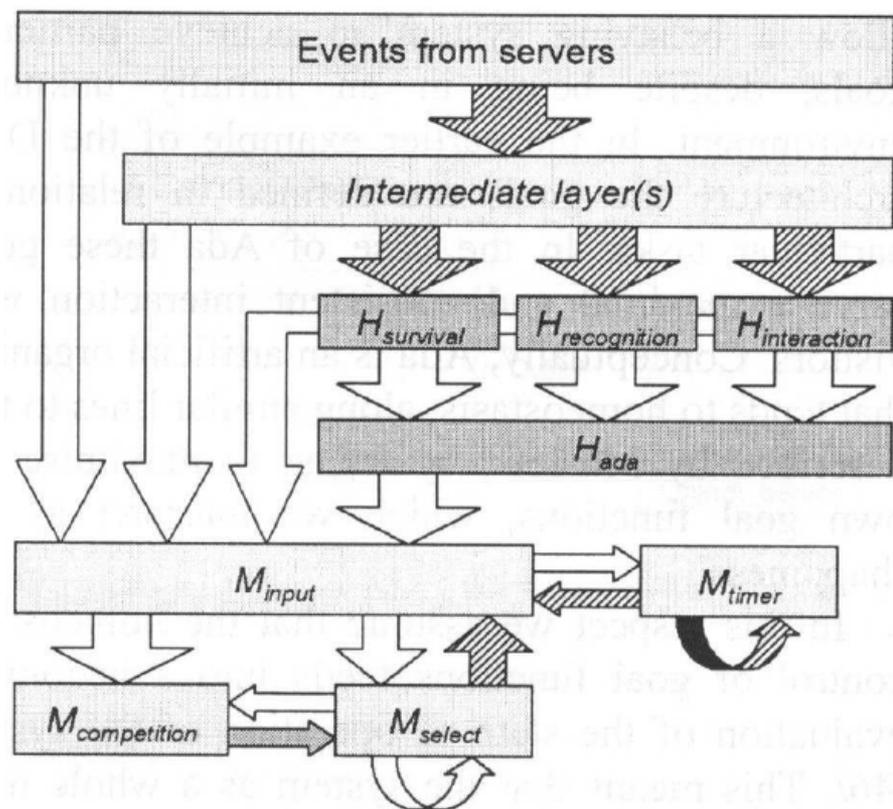
Ada could achieve a high value of H with only a few visitors, but with high recognition and interaction with each visitor

The actual computation of H occurs in multiple ways

# Ada's moods and emotions drive behavior and are driven by its outcomes



The emotions are Joy, Sadness, Anger & Surprise



Adapted from Wassermann, K. C., Eng, K., Verschure, P. F. M. J. & Manzolli, J. Live soundscape composition based on synthetic emotions. *Multimedia, IEEE* (2003)

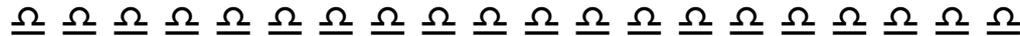
**Do *Animals* Need Cognition?**

*Humans, Yes. Frogs, No.*

*Different niches demand different brains*

**Do *Robots* Need Cognition?**

*Some will (and so will some buildings = “Inside-Out Robots”)  
and many will not*



**Does *Cognitive Science* need *Animals*?**

*Yes. Invaluable data for Comparative Neuroscience of where  
“cognitive subsystems” are and are not needed  
and how diverse systems work together*

**Does *Cognitive Science* need *Robots*?**

*Yes, as “embodied testbeds” for new models of cognition and its neural  
underpinnings – understanding animals, designing robots*

